



ABBASI & COMPANY (PRIVATE) LIMITED
KNOW YOUR CLIENT (KYC) APPLICATION FORM (For Individuals)

Please fill this form in ENGLISH and in **BLOCK LETTERS**

Trading Account #: _____ **CDC Sub Account #:** 03228 - **PMEX A/C #:** _____ **TRD 162 -** _____

A. IDENTITY DETAILS - Title Holder

1	Name of the Applicant										
2	Father's / Husband's Name										
	Date of Birth	D	D	-	M	M	-	Y	Y	Y	Y
3	a) Gender	Male <input type="checkbox"/>	b) Marital status		<input type="checkbox"/> Single <input type="checkbox"/> Married						
		Female <input type="checkbox"/>									

Photograph

Please Affix your recent passport size photograph

4	a) Nationality	<input type="checkbox"/> Pakistan <input type="checkbox"/> Other (Please specify, _____)	b) Status	<input type="checkbox"/> Resident Pakistani <input type="checkbox"/> Non Resident Pakistani <input type="checkbox"/> Foreign National
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5	a) NTN	_____	CNIC NO. / NICOP NO.	_____
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6	Your Mother's Name:	_____	Passport # :	_____	Date of Issue :	_____
			Place of Issue:	_____	Date of expiry:	_____

B. ADDRESS DETAILS

1	Mailing / Postal Address					
2.	Permanent Address:					
3	Contact Details	Tel. (Off.)	_____	Tel. (Res.)	_____	
		Fax No.	_____	Mobile No.	_____	
		Email ID	_____			

C. OTHER DETAILS

1	Gross Annual Income Details (please specify):											
	Income Range Per Annum. (In Rupees)	Networth										
	<input type="checkbox"/> Below One Hundred Thousand <input type="checkbox"/> Below Five Hundred Thousand <input type="checkbox"/> Below One Million <input type="checkbox"/> Below Ten Million <input type="checkbox"/> Above Ten Million	OR Amount (Rs.) _____ As on (date) <table border="1" style="display: inline-table; vertical-align: middle;"> <tr> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> </tr> </table>										

2	OCCUPATION / SOURCE OF FUNDS			
	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Home Remittances	<input type="checkbox"/> Business
	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Retired Person	<input type="checkbox"/> Gifts	<input type="checkbox"/> Professional
	<input type="checkbox"/> Government Service	<input type="checkbox"/> Housewife	<input type="checkbox"/> Inheritance	<input type="checkbox"/> Student`
	<input type="checkbox"/> Any other (If any) Deati _____			

Name of Employer / Business :	Job Title / Designation	Address of Employer / Business:

Note: Incase of home remittances, Inheritance and Gifts, Please Specify the name and relationship with the person whom you are receiving funds for investment:

Name: _____ Relationship: _____

3	Please tick, if applicable:	<input type="checkbox"/> Politically Exposed Person (PEP)	<input type="checkbox"/> Related to a Politically Exposed Person (PEP)
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D. IDENTITY DETAILS - Joint Holder - 1												
1	Name of the Joint Holder(1)			<div style="text-align: center;"> Photograph Please Affix your recent passport size photograph </div>								
2	Father's / Husband's Name											
Date of Birth			D									D
3	c) Gender		Male <input type="checkbox"/>	d) Marital status		<input type="checkbox"/> Single <input type="checkbox"/> Married						
		Female <input type="checkbox"/>										
4	c) Nationality			d) Status								
			<input type="checkbox"/> Pakistan <input type="checkbox"/> Other (Please specify, _____)				<input type="checkbox"/> Resident Pakistani <input type="checkbox"/> Non Resident Pakistani <input type="checkbox"/> Foreign National					
5	b) NTN			CNIC NO. / NICOP NO.								
			Your Mother's Name:			Passport # :			Date of Issue :			
			Place of Issue:			Date of expiry:						

E. ADDRESS DETAILS													
2.	Permanent Address:												
3	Contact Details			Tel. (Off.)			Tel. (Res.)						
				Fax No.			Mobile No.						
				Email ID									

F. OTHER DETAILS											
1	Gross Annual Income Details (please specify):										
			Income Range Per Annum. (In Rupees)				Net worth				
			<input type="checkbox"/> Below One Hundred Thousand <input type="checkbox"/> Below Five Hundred Thousand <input type="checkbox"/> Below One Million <input type="checkbox"/> Below Ten Million <input type="checkbox"/> Above Ten Million				OR				
							Amount (Rs.) _____ As on (date) 				

2 OCCUPATION / SOURCE OF FUNDS											
<input type="checkbox"/> Private Sector <input type="checkbox"/> Agriculturist <input type="checkbox"/> Home Remittances <input type="checkbox"/> Business <input type="checkbox"/> Public Sector <input type="checkbox"/> Retired Person <input type="checkbox"/> Gifts <input type="checkbox"/> Professional <input type="checkbox"/> Government Service <input type="checkbox"/> Housewife <input type="checkbox"/> Inheritance <input type="checkbox"/> Student` <input type="checkbox"/> Any other (If any) Deati _____											

Name of Employer / Business :	Job Title / Designation	Address of Employer / Business:
<p>Note: Incase of home remittances, Inheritance and Gifts, Please Specify the name and relationship with the person whom you are receiving funds for investment:</p>		
Name: _____ Relationship: _____		

3	Please tick, if applicable: <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP)										
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G. IDENTITY DETAILS - Joint Holder - 2

1	Name of the Joint Holder (2)											Photograph Please Affix your recent passport size photograph											
2	Father's / Husband's Name																						
	Date of Birth	D	D	-	M	M	-	Y	Y	Y	Y												
3	e) Gender	Male <input type="checkbox"/>	f) Marital status		<input type="checkbox"/> Single <input type="checkbox"/> Married																		
	Female <input type="checkbox"/>																						
4	e) Nationality	<input type="checkbox"/> Pakistan <input type="checkbox"/> Other (Please specify, _____)					f) Status		<input type="checkbox"/> Resident Pakistani <input type="checkbox"/> Non Resident Pakistani <input type="checkbox"/> Foreign National														
5	c) NTN											CNIC NO. / NICOP NO.											
6	Your Mother's Name:		Passport # :				Date of Issue :																
			Place of Issue:				Date of expiry:																

H. ADDRESS DETAILS

2.	Permanent Address:										
3	Contact Details	Tel. (Off.)			Tel. (Res.)						
		Fax No.			Mobile No.						
		Email ID									

I. OTHER DETAILS

1	Gross Annual Income Details (please specify):										
	Income Range Per Annum. (In Rupees)						OR	Net worth			
	<input type="checkbox"/> Below One Hundred Thousand <input type="checkbox"/> Below Five Hundred Thousand <input type="checkbox"/> Below One Million <input type="checkbox"/> Below Ten Million <input type="checkbox"/> Above Ten Million							Amount (Rs.) _____			
								As on (date) <input type="text"/>			

2 OCCUPATION / SOURCE OF FUNDS

<input type="checkbox"/> Private Sector	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Home Remittances	<input type="checkbox"/> Business
<input type="checkbox"/> Public Sector	<input type="checkbox"/> Retired Person	<input type="checkbox"/> Gifts	<input type="checkbox"/> Professional
<input type="checkbox"/> Government Service	<input type="checkbox"/> Housewife	<input type="checkbox"/> Inheritance	<input type="checkbox"/> Student`
<input type="checkbox"/> Any other (If any) Deati _____			

Name of Employer / Business :	Job Title / Designation	Address of Employer / Business:
<p>Note: Incase of home remittances, Inheritance and Gifts, Please Specify the name and relationship with the person whom you are receiving funds for investment:</p> <p>Name: _____ Relationship: _____</p>		

3 Please tick, if applicable: Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP)

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J. IDENTITY DETAILS - Joint Holder - 3													
1	Name of the Joint Holder(3)												
2	Father's / Husband's Name												
	Date of Birth	D	D	-	M	M	-	Y	Y	Y	Y		
3	g) Gender	Male <input type="checkbox"/>	h) Marital status			<input type="checkbox"/> Single <input type="checkbox"/> Married							
		Female <input type="checkbox"/>											
4	g) Nationality	<input type="checkbox"/> Pakistan					h) Status		<input type="checkbox"/> Resident Pakistani				
		<input type="checkbox"/> Other (Please specify, _____)							<input type="checkbox"/> Non Resident Pakistani				
		<input type="checkbox"/> Foreign National											
5	d) NTN												
						CNIC NO. / NICOP NO.							
6	Your Mother's Name:			Passport # :			Date of Issue :						
				Place of Issue:			Date of expiry:						

Photograph

Please Affix your recent passport size photograph

K. ADDRESS DETAILS													
2.	Permanent Address:												
3	Contact Details	Tel. (Off.)			Tel. (Res.)								
		Fax No.			Mobile No.								
		Email ID											

L. OTHER DETAILS												
1	Gross Annual Income Details (please specify):											
Income Range Per Annum. (In Rupees)					OR	Net worth						
<input type="checkbox"/> Below One Hundred Thousand <input type="checkbox"/> Below Five Hundred Thousand <input type="checkbox"/> Below One Million <input type="checkbox"/> Below Ten Million <input type="checkbox"/> Above Ten Million						Amount (Rs.) _____						
						As on (date) <input type="text"/>						

2	OCCUPATION / SOURCE OF FUNDS										
<input type="checkbox"/> Private Sector <input type="checkbox"/> Agriculturist <input type="checkbox"/> Home Remittances <input type="checkbox"/> Business <input type="checkbox"/> Public Sector <input type="checkbox"/> Retired Person <input type="checkbox"/> Gifts <input type="checkbox"/> Professional <input type="checkbox"/> Government Service <input type="checkbox"/> Housewife <input type="checkbox"/> Inheritance <input type="checkbox"/> Student` <input type="checkbox"/> Any other (If any) Deati _____											

Name of Employer / Business :	Job Title / Designation	Address of Employer / Business:
<p>Note: Incase of home remittances, Inheritance and Gifts, Please Specify the name and relationship with the person whom you are receiving funds for investment:</p> <p>Name: _____ Relationship: _____</p>		

3	Please tick, if applicable: <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP)										
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M. QUESTIONNAIRES

No.	QUESTIONNAIRES	YES	NO
1.	Is the person a Non – Resident Pakistani?		
2.	Is the person a high net worth individual with no identifiable source of income or his / her source of income does not match with size & quantum of investments?		
3.	Is the person involved in dealing in high value items (based on declared occupation)?		
4.	Has any Financial Institution / Broker ever refused to open your Account ?		
5.	Do you belong to a country where Anti Money Laundering Regulations are ignored ? (in terms of not sufficiently applying FATF recommendations)		
6.	Is the person a holder of senior level public (government) office?		

N. DECLARATION BY SHARE HOLDER

I / we the undersigned, hereby declare that the above mentioned information is correct, completed and up-to-date to the best of my/our knowledge and I / we shall immediately update the Abbasi & Company (Pvt) Limited if there is any change in such information. I / we hereby assure that the funds invested in stock exchanges through Abbasi and Company (Pvt) Limited are not derived from any money laundering or illegal activities prohibited by the Govt. and the source(s) of funds declared in this Form are true and correct to the best of my / our knowledge.

Signature(s) Title Holder: _____

Joint Holder (1) _____ **Joint Holder (2)** _____ **Joint Holder (3)** _____

Note: If you are opening a Joint Account then you will be issued a joint crossed cheque in favour of all joint holders against your sale proceeds.

(As on date)

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FOR OFFICE USE ONLY

Low Risk Customer
 Medium Risk Customer
 High Risk Customer

CONFIRMATION of physical presence of customer when opening Account		YES	NO
Completed By:	Name of Sales Person / Agent	Signature:	Date: -----
Checked by		Seal/Stamp of the Compliance Officer	
Signature of Compliance Officer _____			
Date			